



Brokerage and Custody Services
 Exclusively for Independent Advisors
 Member FINRA/ SIPC/ Reg'd MSRB

9845 Erma Road, Suite 312
 San Diego, CA 92131
 Phone: (858) 530-1031
 Fax: (858) 530-1820

Investigo Subscription Form

Advisor Monthly Access Fee	\$100 per Principal User (A Principal User is an individually identified Advisory Firm, or Advisory Agent)
CD or DVD Copy of Account or Firm Data	\$50 per request
Data Conversion from other Portfolio Management Systems	Custom quote provided upon request

Advisory Firm Name _____

SSG Advisor ID # _____ **SSG Master Account #** _____

Contact Name _____ **Tel. #** _____

Contact Email _____ **Fax** _____

Principal User/Advisor Name _____

Additional Users' Names _____

Advisor Name & Address or other information to appear on Investigo Reports

Agreement, Data Privacy and Non-disclosure

Data Privacy. Advisor represents that it has adopted and implemented procedures to safeguard client information, data and records, that are reasonably designed to: (a) ensure the security and confidentiality of client records and information; (b) protect against threats or hazards to the security or integrity of client records and information; (c) protect against unauthorized access to or use of client records or information that could result in substantial harm or inconvenience to any client; (d) protect against unauthorized disclosure of non-public personal information to unaffiliated third parties; and (e) otherwise ensure compliance with any other federal and state privacy laws or regulations that may be applicable to the access, transfer or storage of client data and information.

Non-disclosure. All books, records, information, client data, trade secrets, proprietary information, reports, data packages, client lists, marketing plans, pricing formulas, distribution methods, and any technical information such as software, documentation, test results, designs, processes, specifications, instructions, know-how, or formulas, pertaining to the business of either party that are exchanged, sent or received in connection with the services provided by Shareholders Service Group shall be kept confidential and shall not be voluntarily disclosed to any other person, except (a) if such information is already publicly available; (b) as may be required solely for the purpose of carrying out a party's duties and responsibilities to the other party; (c) as required by order or demand of a court or other governmental or regulatory body or as otherwise required by law; (d) as may be required to be disclosed to a party's attorneys, accountants, regulatory examiners or insurers for legitimate business purposes; or (e) with the express prior written permission of the other party.

Agreement. The advisory firm named above has opened a SSG Master Account and has executed the Master Account Agreement. I agree to pay fees to Shareholders Service Group, Inc., as posted to the Master Account according to the prevailing Fee Schedule at the time the services are provided. Monthly fee is not prorated for partial month at inception or at termination. Service may be terminated upon 30 days advance notice. I understand all fees are subject to change upon prior notice from SSG. I agree to maintain a cash or money fund deposit in my Master Account of at least \$1000 or sufficient to pay expected fees due, whichever is greater. I understand that finance charges and a minimum collection charge of \$25 may be levied if insufficient cash is available in my Master Account when fees are charged to my account.

Authorized Signature _____ Title _____ Date _____

Print Name _____ Advisor ID # _____