



Member FINRA/ SIPC/ Reg'd MSRB

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## Organization Account Questionnaire

### For Corporations, LLCs, Partnerships and Charitable Organizations

Federal regulations require SSG to assist the federal government in efforts to fight money laundering and other financial crimes. Please provide the information below to identify the entity as well as the Authorized Individuals, Control Persons, and Beneficial Owners. We may require additional documentation.

Name of Organization (as shown on the charter or other legal document creating the corporation)		
Country of Incorporation	State of Incorporation	Date of Incorporation (mm/dd/yyyy)
Organization's website URL Address		
Organization's primary purpose and field of activity		
Products or services that the Organization provides		
Name of your primary regulator		
Purpose of this account		

Please complete the information below for each individual director, officer, employee, or agent of the organization that is authorized to buy and sell securities, withdraw or transfer cash or securities, sign contracts, waivers, or releases, and otherwise conduct business on behalf of the Organization. SSG will have no obligation of further inquiry with respect to the validity of, or authority with respect to, any transaction or instruction provided by an Authorized Individual.

Name	Title	Address	SSN	% Ownership

If any person above is a non-US citizen, indicate the name of the person and country of citizenship.  
 Name \_\_\_\_\_ Country of citizenship \_\_\_\_\_

### Identification of Beneficial Owner(s)

Please complete this section for each owner of 25% or more, all control persons (individuals with significant responsibility for managing the organization), and any owner or control person that is another legal entity.

Name	Title	Address	SSN/TIN	% Ownership	Control Person Y/N

If any person above is a non-US citizen, indicate the name of the person and country of citizenship.  
 Name \_\_\_\_\_ Country of citizenship \_\_\_\_\_

Check here if any person above is affiliated with a broker-dealer or FINRA member firm.

Check here if any person above is a director, 10% shareholder, senior officer or control person of a publicly traded company.

*If you checked either box above, provide the following information in connection to that person:*

Name \_\_\_\_\_ Company Name \_\_\_\_\_ Stock Symbol \_\_\_\_\_

Company Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

**Identification of Authorized Individuals(s)** *Provide copy of a Driver's License or Passport for each beneficial owner and each person authorized to conduct business for the organization.*

A minimum of two signatures of corporate officers are required to open an account. One signature must be from the Chairman of the Board, CEO, President, or any Vice President; the second signature must be from the Secretary, any Assistant Secretary, the Chief Financial Officer, the Treasurer, or any Assistant Treasurer.

**I hereby certify to the best of my knowledge that the information provided above is complete and correct.**

\_\_\_\_\_  
*Print Name*

\_\_\_\_\_  
*Title*

\_\_\_\_\_  
*Authorized Signature*

\_\_\_\_\_  
*Date*

**I hereby certify to the best of my knowledge that the information provided above is complete and correct.**

\_\_\_\_\_  
*Print Name*

\_\_\_\_\_  
*Title*

\_\_\_\_\_  
*Authorized Signature*

\_\_\_\_\_  
*Date*