



Member FINRA/SIPC/Reg'd MSRB

9845 Erma Road, Suite 312  
 San Diego, CA 92131  
 Phone (858) 530-1031  
 (800) 380-7370  
 Fax (858) 530-1820

You can name a Trusted Contact Person associated with your account. Naming a Trusted Contact Person can help protect you from financial exploitation.

TRUSTED CONTACT PERSON			
You may name a trusted contact person— someone over 18 years old-- whom we may contact if we have concerns about your whereabouts or your health status, or if we become concerned that you may no longer be able to handle your financial affairs or that you may be a victim of fraud or exploitation. The trusted contact person should not be your Advisor or someone already authorized to place transactions or receive account information.			
Trusted Contact Name		Birthdate	
Street Address/ City/ State/ ZIP			
Email Address			
Home Phone	Mobile Phone	Business Phone	
PRINT Account Holder Name(s)			
PRINT Account Number	OtherAccount Number (If Applicable)	OtherAccount Number (If Applicable)	
Signature(s) of Account Holder(s)	Signature(s) of Account Holder(s)	Date	

**Specified Adult and Trusted Contact Disclosure** By completing a physical or electronic contact form or providing Trusted Party information to my broker or investment professional for electronic capture, I/we authorize Shareholders Service Group (SSG) and Pershing LLC (Pershing) and their affiliates to share my nonpublic personal information\* held at SSG and Pershing with the named trusted contact person(s) (the "Trusted Contact Person(s)") identified as such. Additionally, I/we authorize this information to be shared with the Trusted Contact Person(s) at the discretion of SSG and Pershing. This authorization includes, but is not limited to, sharing or disclosing any information regarding securities, insurance, bank related, financial planning or other financial products or services offered by or through SSG and/or Pershing or any financial information I/we may have provided to SSG and Pershing. I/we understand that SSG and/or Pershing, LLC may contact the named Trusted Contact Person(s) if there are questions/concerns about my whereabouts or health status (i.e., if SSG and/or Pershing becomes concerned that I may no longer be able to handle my financial affairs) or in the event that SSG and/or Pershing becomes concerned that I may be a victim of fraud or exploitation. Account owners should contact SSG or their financial advisor with additional questions. Account owners may also contact FINRA at its toll-free number for senior investors to get assistance or raise concerns about issues with brokerage accounts and investments. CALL: 844-57-HELPS (844-574-3577) MONDAY – FRIDAY; 9 – 5 P.M. ET

\* "Nonpublic personal information" includes, but is not limited to: financial account information and balances, information regarding the purchase of a security or insurance product, and any other personally identifiable financial information: (i) provided by me/us to SSG or our financial advisor; (ii) resulting from any transaction in my/our account or any service performed on behalf of me/us by SSG; or (iii) otherwise obtained from me/us by SSG.